SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Numbe

OMB Number:	3235-0287
Estimated average burden	
hours per response:	0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Section obligat	this box if no lo n 16. Form 4 or ions may contir tion 1(b).		ST	ATE		d pursi	uant to See	ction 16(a) of the	e Secur	rities Exchang	je Act of 19	NERSHI	Ρ	Estima	Number: ated ave per resp	rage burden	3235-0287 1 0.5		
1. Name and Address of Reporting Person [*] McJannet David						2. Issuer Name and Ticker or Trading Symbol <u>HashiCorp, Inc.</u> [HCP]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) C/O HAS	(First) (Middle) SHICORP, INC.				3. Date of Earliest Transaction (Month/Day/Year) 12/05/2023						X	X Officer (give title Other (specify below) below) CEO & Chairman								
101 SECOND STREET, SUITE 700				_	4. If Amendment, Date of Original Filed (Month/Day/Year) 6								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person							
(Street) SAN FRANC					Form filed by Mi Rule 10b5-1(c) Transaction Indication							d by More	than C	One Report	ing Person					
(City)	(5	State)	(Zip)			X a	Check this b affirmative d	ox to indi lefense co	icate tha onditions	it a trans s of Rule	saction was ma e 10b5-1(c). Se	de pursuant e Instruction	to a contract, ir 10.	contract, instruction or written plan that is intended to satisfy the						
			Table I - N	Non-D	Deriva	ative	Securit	ties Ac	cquire	ed, Di	sposed of	f, or Ber	neficially C	wned						
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		Execution Date,		Date,	3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Of (D) (Instr. 3, 4 and 5)		A) or Disposed	Securities Beneficially Owned Followi		Form: Direct (D) or Indirect		7. Nature of Indirect Beneficial Ownership					
									Code	v	Amount	(A) or (D)	Price	Reported (In Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Class A Common Stock				12/	12/05/2023				С		200,000	Α	(1)	376,165		D				
Class A C	Class A Common Stock			12/	05/20	23			S ⁽²⁾		200,000	D	\$25.0144 ⁽³⁾	176,165		5 D				
			Table I								posed of, convertib		ficially Ov rities)	vned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	ecution Date, Transaction Derivative		re s I(A)or dof(D)	6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and / Securities U Derivative S (Instr. 3 and		d Amount of 5 Underlying ∋ Security nd 4)	erlying Derivative		er of ve es ally	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership (Instr. 4)						
					Code	v	(A)	(D)	Date Exer	cisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s (Instr. 4)					
Employee Stock Option (right to buy)	\$ 0.119	12/05/2023			М			200,000		(4)	07/18/2026	Class B Common Stock	200,000	\$0.00	2,637,638		2,637,638		D	
Class B Common Stock	(1)	12/05/2023			М		200,000			(1)	(1)	Class A Common Stock	200,000	\$0.00	343,4	109 D				
Class B Common Stock	(1)	12/05/2023			С			200,000		(1)	(1)	Class A Common Stock	200,000	\$0.00	143,4	409	D			
Class B Common Stock	(1)									(1)	(1)	Class A Common Stock	1,355,425		1,355,425		1,355,425		I	See footnote ⁽⁵⁾
Class B Common Stock	(1)									(1)	(1)	Class A Common Stock	681,050		681,050		681,050		I	See footnote ⁽⁶⁾
Class B Common Stock	(1)									(1)	(1)	Class A Common Stock	681,050		681,0)50	I	See footnote ⁽⁷⁾		

Explanation of Responses:

(1)

1. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date

2. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on July 11, 2023.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$25.00 to \$25.09, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.

(1)

4. The shares underlying the option are fully vested and immediately exercisable

5. The shares are held of record by a family trust for which the reporting person serves as a trustee.

6. The shares are held of record by the Emerald GST Non-Exempt Trust.

7. The shares are held of record by the Sapphire GST Non-Exempt Trust.

8. The shares are held of record by an additional family trust.

Remarks:

Class B

Commor

Stock



** Signature of Reporting Person

60,000

Class A

Comme

Stock

(1)

Date

60 000

T

footnote⁽⁸⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.