FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL             |     |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Dadgar Armon        |  |             |   |                 |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol HashiCorp, Inc. [HCP] |   |                           |  |  |                    |        |   |  | all appl  | or  | 2  | 10%  | Owner                                   |  |  |
|---|--|-------------|---|-----------------|---|--|---|---------------------------|--|--|--------------------|--------|---|--|---|---|--|--|---|--|--|
| (Last) (First) (Middle) C/O HASHICORP, INC.                   |  |             |   |                 | 3. Date of Earliest Transaction (Month/Day/Year) 10/20/2023 |  |   |                           |  |  |                    |        | X   | X Officer (give title below) Other (specify below)  Chief Technology Officer |   |   |  |  |   |  |  |
| 101 SECOND STREET, SUITE 700                                  |  |             |   |                 | 4. If <i>i</i>  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 |   |                           |  |  |                    |        | 6. Individual or Joint/Group Filing (Check Applicable Line)               |  |   |   |  |  |   |  |  |
| (Street) SAN FRANCE   | ISCO CA  | CO CA 94105 |   |                 |   |  |   |                           |  |  |                    |        |   |  | X Form filed by One Reporting Person Form filed by More than One Reporting Person |   |  |  |   |  |  |
| (City)  | (Sta   | ate) (Z     | Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |                 |   |  |   |                           |  |  |                    |        |   |  |   |   | ntended to                                 |  |   |  |  |
|   |  | Table       | I - No  | on-Deriva       | tive  | Secui  | rities  | Acc                       | quirec   | l, Dis   | sposed of          | , or B | enefici   | ally   | Own   | ed  |  |  |   |  |  |
| 1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day |  |             |   | Execution Date, |   |  | 3.<br>Transa<br>Code (<br>8)                  | ction<br>Instr.           | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 an<br>5) |  |                    | d   9  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported |  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)                 |   | 7. Nature of Indirect Beneficial Ownership |  |   |  |  |
|   |  |             |   |                 |   | Code   | v   | Amount                    | (A) or<br>(D)  | Price  |                    |        | ion(s)  |  |   | (Instr. 4)  |  |  |   |  |  |
| Class A Common Stock 10/20/20                                 |  |             |   |                 | )23   |  |   |                           | S <sup>(1)</sup>   |  | 1,873              | D      | \$20.7  | 9  | 37,709  |   | D  |  |   |  |  |
| Class A Common Stock  |  |             |   |                 |   |  |   |                           |  |  |                    |        |   | 1,672,000  |   | I   |  | See<br>footnote <sup>(2)</sup>   |   |  |  |
| Class A (   | Common Sto   | ock         |   |                 |   |  |   |                           |  |  |                    |        |   | 90,440 I   |   |   |  |  | See<br>footnote <sup>(3)</sup>          |  |  |
| Class A Common Stock  |  |             |   |                 |   |  |   |                           |  |  |                    |        |   | 281,994  |   | I   |  | See<br>footnote <sup>(4)</sup>   |   |  |  |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |             |   |                 |   |  |   |                           |  |  |                    |        |   |  |   |   |  |  |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | Derivative Conversion Date Execution Date, or Exercise (Month/Day/Year) if any   |             |   |                 | 4.<br>Transaction<br>Code (Instr.<br>8)                     |  | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Dispe | r<br>osed<br>)<br>r. 3, 4 | Expira   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    |        | e and<br>nt of<br>ities<br>lying<br>ative<br>ity (Instr.<br>4)            | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                          |   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) |  | 10.<br>Ownershi<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4 | Beneficial<br>Ownership<br>t (Instr. 4) |  |  |
|   |  |             |   |                 | Code  | v  | (A)   | (D)                       | Date<br>Exercisable  |  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares                                    |  |   |   |  |  |   |  |  |

## **Explanation of Responses:**

- 1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on July 21, 2023.
- 2. The shares are held of record by the Armon Dadgar 2020 Charitable Trust.
- $3. \ The \ shares \ are \ held \ of \ record \ by \ Black \ Swan \ III, \ LLC \ which \ the \ reporting \ person \ controls.$
- 4. The shares are held of record by the Armon Memaran-Dadgar Living Trust for which the reporting person serves as trustee.

## Remarks:

/s/ Paul Warenski, by power of 10/24/2023 attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.