| SEC Form 4 | | | | | | | | | | |
|--|------------------|--|---|--|--|--|--|--|--|--|
| FORM 4 | UNITED S | TATES SECURITIES AND EXCHANGE CO Washington, D.C. 20549 | MMISSION | OMB APPROVAL | | | | | | |
| Check this box if no long Section 16. Form 4 or Fo obligations may continue Instruction 1(b). | rm 5 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 193- or Section 30(h) of the Investment Company Act of 1940 | _ | OMB Number: 3235-0287 Estimated average burden hours per response: 0.5 | | | | | | |
| 1. Name and Address of Re HENSHALL DAV | | 2. Issuer Name and Ticker or Trading Symbol <u>HashiCorp, Inc.</u> [HCP] | 5. Relationship of (Check all applica X Director | Reporting Person(s) to Issuer ble) 10% Owner | | | | | | |
| (Last) (First) | | 3. Date of Earliest Transaction (Month/Day/Year) 02/01/2024 | Officer (g below) | give title Other (specify below) | | | | | | |
| C/O HASHICORP, IN 101 SECOND STREE | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicabl Line) X Form filed by One Reporting Person | | | | | | | |
| (Street) SAN | 0.44.0.5 | | Form file Person | ed by More than One Reporting | | | | | | |
| FRANCISCO CA | 94105 | Rule 10b5-1(c) Transaction Indication | | | | | | | | |
| (City) (State | e) (Zip) | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | |
| | Table I - Non-De | erivative Securities Acquired, Disposed of, or Bene | ficially Owned | | | | | | | |

| | | • | , | | , | | | | | |
|---------------------------------|--|---|------------------------------|--------|------------------------------------|---------------|-------|---|---|---|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | action | 4. Securities Disposed Of 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |

| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|---|--|--|---|------------------------------|---|-------|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of | | 6. Date Exerc Expiration Da (Month/Day/N | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Restricted Stock Units | (1) | 02/01/2024 | | Α | | 2,253 | | (2) | (2) | Class A Common Stock | 2,253 ⁽³⁾ | \$22.64 | 2,253 | D | |

Explanation of Responses:

1. Each restricted stock unit, or RSU, represents a contingent right to receive one share of Issuer Class A Common Stock.

2. The RSUs vest in four equal quarterly installments beginning on March 20, 2024.

3. The RSUs were issued to the reporting person pursuant to the Issuer's Outside Director Compensation Policy in lieu of retainer fees of \$51,000.

Remarks:

/s/ Paul Warenski, by power of attorney 02/05/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.