SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
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<ul> <li>Obligations may Instruction 1(b)</li> </ul>	y continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	hours per response: 0.5		
			or Section 30(h) of the Investment Company Act of 1940		L.	
1. Name and Address of Reporting Person <sup>*</sup> Welihinda Navam		ng Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>HashiCorp, Inc.</u> [ HCP ]	5. Relationship of (Check all applica Director X Officer (	ble)	10% Owner Other (specify
(Last) C/O HASHICO 101 SECOND	1 - C	(Middle) UITE 700	3. Date of Earliest Transaction (Month/Day/Year) 12/08/2021	(woied	ef Financial Of	below) fficer
(Street) SAN FRANCISCO (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form file	int/Group Filing (Check Applicable d by One Reporting Person d by More than One Reporting	
(,	(2(0)					
		Table I - Non-E	Derivative Securities Acquired, Disposed of, or Bene	eficially Owned		

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction		4. Securities A Disposed Of (I 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock <sup>(1)</sup>	12/08/2021		A		121,000 <sup>(2)</sup>	Α	\$0.00	301,028	D	
Common Stock <sup>(1)</sup>	12/08/2021		Α		95,000 <sup>(3)</sup>	Α	\$0.00	396,028	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv	r osed ) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/N	ate	Amount of Securities Underlying Derivative Security (Instr 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Immediately prior to the completion of the Issuer's initial public offering of Class A Common Stock, each share of Common Stock shall be reclassified into one share of Class B Common Stock.

2. The reported shares are represented by restricted stock units, or RSUs, which vest as to 25% on January 31, 2022 and the remaining shares vest in twelve equal quarterly installments beginning on March 20, 2022. Immediately prior to the completion of the Issuer's initial public offering of Class A Common Stock, each share underlying these RSUs shall be reclassified into one share of Class B Common Stock.

3. The reported shares are represented by RSUs which vest as to 50% on December 9, 2023 and the remaining shares vest in eight equal quarterly installments beginning on December 20, 2023. Immediately prior to the completion of the Issuer's initial public offering of Class A Common Stock, each share underlying these RSUs shall be reclassified into one share of Class A Common Stock. Remarks:

> /s/ Paul Warenski, by power of 12/10/2021

attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.