| SEC Form 4 |  |
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Instruction 1(b).

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 |
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| Flied pursuant to Section To(a) of the Securities Exchange Act of 1934 |
| or Section 30(h) of the Investment Company Act of 1940                 |

| 1. Name and A<br>Zarmi Sig   | ddress of Reporting          | Person <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>HashiCorp, Inc.</u> [HCP]  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |  |  |  |  |  |  |
|--|------------------------------|---------------------|---|--|--|--|--|--|--|--|
| (Last)   | (First)                      | (Middle)            | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/20/2024  | Officer (give title Other (specify below) below)                           |  |  |  |  |  |  |
| C/O HASHI<br>101 SECON   | CORP, INC.<br>ID STREET, SUI | TE 700              | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)             |  |  |  |  |  |  |
| (Street)   |                              |                     |   | Form filed by More than One Reporting<br>Person                            |  |  |  |  |  |  |
| FRANCISC   | o <sup>CA</sup>              | 94105               | Rule 10b5-1(c) Transaction Indication   |  |  |  |  |  |  |  |
| (City)   | (State)                      | (Zip)               | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to sa the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |  |  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                              |                     |   |  |  |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   |        |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|---------------------------------|--|---|------------------------------|---|--------|---------------|---|---|---|----------|
|                                 |  |   | Code                         | v | Amount | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)                                |   | (1130.4) |
| Class A Common Stock            | 06/20/2024                                 |   | М                            |   | 530    | A             | (1)   | 38,521  | D   |          |
| Class A Common Stock            | 06/20/2024                                 |   | <b>S</b> <sup>(2)</sup>      |   | 975    | D             | \$33.6  | 37,546  | D   |          |
| Class A Common Stock            | 06/21/2024                                 |   | С                            |   | 3,125  | A             | (3)   | 40,671  | D   |          |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   | (e.g., puts, cans, warrants, options, convertible securities)         |  |   |                              |   |  |   |  |                    |  |  |   |  |  |  |
|---|---|--|---|------------------------------|---|--|---|--|--------------------|--|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Num<br>Deriva<br>Securi<br>Acquir<br>or Disj<br>of (D)<br>3, 4 an | tive<br>ties<br>red (A)<br>posed<br>(Instr. | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)  | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Restricted<br>Stock<br>Units                        | (1)   | 06/20/2024                                 |   | М                            |   |  | 530   | (4)  | (4)                | Class A<br>Common<br>Stock   | 530                                    | \$ <u>0</u>   | 1,061  | D  |  |
| Restricted<br>Stock<br>Units                        | (3)   | 06/20/2024                                 |   | М                            |   |  | 3,125                                       | (5)  | (5)                | Class B<br>Common<br>Stock   | 3,125                                  | \$ <u>0</u>   | 9,375  | D  |  |
| Class B<br>Common<br>Stock                          | (3)   | 06/20/2024                                 |   | М                            |   | 3,125  |   | (3)  | (3)                | Class A<br>Common<br>Stock   | 3,125                                  | \$0   | 3,125  | D  |  |
| Class B<br>Common<br>Stock                          | (3)   | 06/21/2024                                 |   | С                            |   |  | 3,125                                       | (3)  | (3)                | Class A<br>Common<br>Stock   | 3,125                                  | \$0   | 0  | D  |  |

## Explanation of Responses:

1. Each restricted stock unit, or RSU, represents a contingent right to receive one share of Issuer Class A Common Stock.

2. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 17, 2023.

3. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.

4. The remaining RSUs vest in two equal quarterly installments beginning on September 20, 2024.

5. The remaining RSUs vest in three equal quarterly installments beginning on September 20, 2024.

/s/ Paul Warenski, by power of 06/24/2024

attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.