Instruction 1(b)

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

5 Pelationship of Penorting Person(s) to Issuer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2 Issuer Name and Ticker or Trading Symbol

Name and Address of Reporting Person*  Welihinda Navam						2. Issuer Name and Ticker or Trading Symbol HashiCorp, Inc. [ HCP ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner						
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(Last)	(F	irst)	(Middle)		2 0	ata of Carlinat 7	Tranca	otion	/Man	th/Day/Vaar)			X bel			below)	opcony		
C/O HASHICORP, INC.						3. Date of Earliest Transaction (Month/Day/Year) 06/20/2022								Chief Fin	ancial	Officer			
	· · · · · · · · · · · · · · · · · · ·	EET, SUITE 700	)																
(Street)					–   4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
SAN												"	,	m filed by O	ne Repo	rtina Perso	n l		
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(City)	(S	tate)	(Zip)																
		Tal	ole I - N	Non-Deri	ivative	Securities	Acq	uire	d, D	isposed c	of, or B	eneficia	ly Owr	ed					
1. Title of Security (Instr. 3)  2. Transactic Date (Month/Day/						Execution Dat		Pate, Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			Beneficially Owned Follo		Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership		
						С	ode	v	Amount	(A) or (D)	Price	Tran	orted saction(s) r. 3 and 4)			(Instr. 4)			
Class A Common Stock				06/20/2	2022			M		3,360	Α	(1)		0,860(2)		D			
Class A Common Stock 06				06/21/2	2022			С		7,569	Α	(3)		18,429		D			
Class A Common Stock 06/21/20					2022			S <sup>(4)</sup>		5,100	D	\$28.902	(5)	13,329		D			
			Table I			Securities <i>F</i>			,	•			Owne	d					
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Conversion or Exercise (Month/Day/Year)  Output  3. Transaction Date Execution if any (Month/Day/Year)  (Month/Day/Year)		ned 4.		5. Number	er of re s i (A)	6. Date Exer Expiration I (Month/Day		rcisable and Date	sable and 7. Title and Amount of		Derivat Securit (Instr. 8	5. Price of Derivative Security Instr. 5)  9. Numbe derivative Securities Beneficial Owned		10. Ownership Form: Direct (D) or Indirect	Beneficial Ownership				

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V (A) (D) Date Exercisable		Date Exercisable	Expiration Date	Title	Amount or Number of Shares							
Restricted Stock Units	(1)	06/20/2022		М			3,360	(6)	(6)	Class A Common Stock	3,360	\$0.00	50,404	D	
Restricted Stock Units	(7)	06/20/2022		М			7,569	(8)	(8)	Class B Common Stock	7,568	\$0.00	83,257	D	
Class B Common Stock	(1)	06/20/2022		М		7,569		(1)	(1)	Class A Common Stock	7,568	\$0.00	208,925	D	
Class B Common Stock	(1)	06/21/2022		С			7,569	(1)	(1)	Class A Common Stock	7,569	\$0.00	201,357	D	

## **Explanation of Responses:**

- 1. Each restricted stock unit, or RSU, represents a contingent right to receive one share of Issuer Class A Common Stock.
- 2. Includes 500 shares acquired under the Issuer's employee stock purchase plan on June 15, 2022.
- 3. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- 4. The reported shares were sold to satisfy the reporting person's tax obligations in connection with the vesting of RSUs.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$28.59 to \$29.34, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
- 6. The remaining RSUs vest in fifteen equal quarterly installments beginning on September 20, 2022.
- 7. Each RSU represents a contingent right to receive one share of Issuer Class B Common Stock
- 8. The RSUs vest in ten equal quarterly installments beginning on September 20, 2022

/s/ Paul Warenski, by power of <u>attorney</u>

\*\* Signature of Reporting Person

06/22/2022

Date

Remarks:

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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